

**NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT
OR COMPENSATION NEGOTIATION OR AGREEMENT
AND RECUSAL STATEMENT**

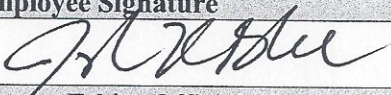
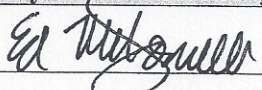
Section 17 of the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) requires certain employees to file a statement notifying their agency ethics official of any negotiation for, or agreement of, future employment or compensation with a non-federal entity within three business days after commencement of the negotiation or agreement. An employee who files a notification statement also must file with the agency's ethics official a recusal statement whenever there is a conflict of interest or appearance of a conflict of interest with the entity, unless the employee obtains a written waiver as discussed in 5 C.F.R. § 2635.402(d), obtains an authorization as discussed in 5 C.F.R. § 2635.502(d), or qualifies for a regulatory exemption pursuant to 18 U.S.C. § 208(b)(2).

**NOTIFICATION OF POST-GOVERNMENT EMPLOYMENT
OR COMPENSATION NEGOTIATION OR AGREEMENT**

| | |
|---|-----------------------------|
| Name of Employee | Joseph Balash |
| Agency/Office | ASLM |
| Date Negotiation or Agreement Commenced | 6/6/19 |
| Name(s) of Non-Federal Entity or Entities <small>Disclose each non-federal entity with which you are negotiating for, or have an agreement of, future employment or compensation.</small> | National Mining Association |

RECUSAL STATEMENT

For as long as I am negotiating for, or have an agreement of, employment or compensation with any entity listed above, I will comply with all applicable recusal obligations under 5 C.F.R. part 2635 and, where applicable, 18 U.S.C. § 208. I understand that it is my responsibility to consult an agency ethics official if I have questions regarding these recusal obligations.

| | |
|---|-----------------------|
| Employee Signature | Date Submitted |
|  | 6/7/19 |
| Agency Ethics Official Signature | Date Received |
|  | 6/7/19 |